



Maple-Brown Abbott Imputation Fund
Maple-Brown Abbott Australian Geared Equity Fund

MAPLE-BROWN ABBOTT LIMITED ABN 73 001 208 564 AFSL 237296

INITIAL APPLICATION FORM

If you're investing as an individual, sole trader or joint investor, please complete the "Customer Identification For Individuals and Sole Traders Form" on page 8 of this Application Form.

Complete this form and the Customer Identification Form using black pen - print in clear CAPITAL LETTERS

- > Use this form to make INITIAL APPLICATIONS into the Maple-Brown Abbott Imputation Fund and the Maple-Brown Abbott Australian Geared Equity Fund.
> Questions? Please call the Ironbark Client Services Centre on 1800 034 402, Mon-Fri from 9am to 6pm, Sydney time.

IF YOU HAVE MORE THAN TWO JOINT INVESTORS OR TRUSTEES, PLEASE PHOTOCOPY THIS FORM AND USE IT TO SUPPLY ADDITIONAL INVESTOR DETAILS (SECTIONS 1 AND 2), AND SIGNATURES (SECTION 13).

1 TYPE OF INVESTOR

Cross [X] the relevant box (only one).

Table with 2 columns: Investor Type and Instructions. Rows include Individual/joint, Business partnership, Trust/superannuation fund, Company, Adult(s) investing for a child under 18, Deceased estate, Club/association and unincorporated body, Institutional, and Mastertrust/ Wrap Provider.

2A INDIVIDUALS, JOINT INVESTORS OR INDIVIDUAL PARTNER(S)/TRUSTEE(S) DETAILS

Investor A (contact person)

Title

Mr [] Mrs [] Miss [] Ms [] Other []

Given name(s)

[]

Surname

[]

Date of birth (dd/mm/yyyy)

[] [] / [] [] / [] [] [] []

Occupation

[]

Investor B

Title

Mr [] Mrs [] Miss [] Ms [] Other []

Given name(s)

[]

Surname

[]

Date of birth (dd/mm/yyyy)

[] [] / [] [] / [] [] [] []

Occupation

[]

Joint (non corporate) investors only

Indicate account signing authority for future transactions. Either: [] Investor A or B [] Investor A and B [] A only [] B only

2B NAME OF INSTITUTION/COMPANY OR CORPORATE TRUSTEE (INCLUDING INCORPORATED BODIES)

[]

[]

2C NAME OF TRUST/SUPERANNUATION FUND, BUSINESS PARTNERSHIP, CLUB/ASSOCIATION OR CHILD/ESTATE NAME

[]

[]

3 CONTACT DETAILS

Residential address/Registered office (PO Box not acceptable)

State Postcode

Country, if not Australia

Postal address – if different from above

State Postcode

Country, if not Australia

Home phone number

Mobile phone number

Work phone number

Fax number

Email address¹

1) In future, Maple-Brown Abbott may email correspondence to you.

Please cross if appropriate I wish to receive the Annual Report for the funds.

If you do not tick this box, the Annual Reports will be available to you on Maple-Brown Abbott's website.

4 PRIVACY CONSENT PREFERENCE

Cross the relevant box if you don't like the benefit of receiving information about products and services (which may be supplied by us, any member of the Westpac Group or preferred providers) which we consider may be of value or interest to you.

 No**5 TAX FILE NUMBER (TFN), AUSTRALIAN BUSINESS NUMBER (ABN) OR EXEMPTION**

Whose TFN or ABN is required?

Individual	Investor A
Joint	Both Investor A and B
Business partnership	The partnership
Trust/superannuation fund (individual trustee(s) and corporate trustee(s))	The trust or superannuation fund
Adult(s) investing for a child under 18	Investor A (and Investor B if relevant)
Deceased estate	The estate
Clubs/associations and unincorporated bodies	The club, association or unincorporated body
Company	The company
Institutional	The institution
Mastertrust/Wrap Provider	The Mastertrust/Wrap Provider

Enter TFN or ABN

Investor A TFN

Investor B TFN

OR

ABN

Collection of Tax File Number (TFN) information is authorised and its use and disclosure are strictly regulated by tax laws and the Privacy Act.

Investors must only provide an Australian Business Number (ABN) instead of a TFN when the investment is made in the furtherance of an enterprise (eg. business or trade).

You're not obliged to provide either your TFN or ABN, but if you don't provide either, or claim an exemption, we're required to deduct tax from your distribution at the highest marginal rate (plus Medicare Levy) to meet Australian Taxation Office requirements.

OR**Reason for exemption**

<input type="checkbox"/> Non-resident – country	<input type="text"/>
<input type="checkbox"/> Registered Charity	
<input type="checkbox"/> Other – please specify	<input type="text"/>

I authorise the TFN/ABN details to apply to this investment only.

6 INVESTMENT DETAILS**A How will your investment be made?****Note: cash is not accepted.**Cross the relevant box.

Direct debit by Maple-Brown Abbott	<input type="checkbox"/> Make sure you complete Section 7 (Direct debit request). Note: not available for institutional investors.
Cheque sent to Maple-Brown Abbott	<input type="checkbox"/> Make cheques payable to: NNL – Maple-Brown Abbott Application Account and mark 'not negotiable' Post to: Registry Services – MBA GPO Box 1406, Melbourne VIC 3001
Electronic Funds Transfer (EFT)	<input type="checkbox"/> You'll only be able to make your initial application by EFT after we've notified you that your application has been processed and your account is set up. Our bank account details are: Account Name: NNL – Maple-Brown Abbott Application Account BSB: 083-043 Account Number: 126 783 944
Transferring ownership	<input type="checkbox"/> Provide a signed and completed Transfer Form and the Investor Number for the investment that units are being transferred out of (if known). <input type="text"/>

6 INVESTMENT DETAILS (CONTINUED)

Investment amount				Regular Savings Plan	Regular Withdrawal Plan	Distribution options ¹	
Enter the amount to be invested in each Fund. The minimum total investment is \$1,500 or \$1,000 if a Regular Savings Plan is set up				A Regular Monthly Savings Plan via direct debit	The Regular Withdrawal Plan allows you to nominate a fixed payment to be paid directly into your bank account at the end of your selected Fund's distribution period	Select a distribution option for your investment. If you don't select a distribution option and haven't set up a Regular Withdrawal Plan, this will be treated as a request to reinvest your distribution	
Fund Name	APIR Code	For Office use only Fund Code	Initial investment amount	Specify amount for your Regular Savings Plan and also complete Section 7 and 8	Specify amount for your Regular Withdrawal Plan and also complete Section 9 to nominate a bank account	Reinvest	Pay to bank (also complete Section 9)
Maple-Brown Abbott Imputation Fund	ADV0013AU	MPIMPO	\$	\$	\$	<input type="checkbox"/>	<input type="checkbox"/>
Maple-Brown Abbott Australian Geared Equity Fund	ADV0077AU	MPAGEO	\$	\$	\$	<input type="checkbox"/>	<input type="checkbox"/>
Total			\$	\$	\$		

1) Maple-Brown Abbott must be notified of a change in distribution option at least 7 days before the next scheduled distribution for it to be effective for that period.

7 DIRECT DEBIT REQUEST ACCOUNT DETAILS

Bank account holder(s) must complete this section if you:

- > are making this investment via direct debit and/or
- > wish to set up a direct debit facility for future investments and/or
- > are setting up a Regular Savings Plan.

Note: not available for institutional investors.

Bank accounts for direct debits can be in the name(s) of the investor(s) or a third party.

We only accept Australian bank account details

Name of financial institution

Branch name

BSB number

Account number

Account name

8 REGULAR SAVINGS PLAN

Cross the relevant box.

Which day of the month would you prefer for your investment to be debited from your nominated account?

On: 5th 19th

If the day on which you must make any payments to us is not a business day, we will draw on your account under your DDR on the first business day following that day.

Note: if you don't indicate otherwise, your plan will commence on the 19th of the month.

Which month do you want your Regular Savings Plan to start?

ALL BANK ACCOUNT SIGNATORIES MUST SIGN AND DATE [THE FOLLOWING]

Direct Debit Authority: I/We authorise Maple-Brown Abbott Limited ABN 73 001 208 564 (User ID: 431895) to, until further notice, arrange for funds to be debited from the account at the financial institution identified in **Section 7** through the Bulk Electronic Clearing System. I/We acknowledge this direct debit arrangement is governed by the terms of the Direct Debit Request Service Agreement found at maple-browncabbott.com.au/forms.

Signature A

Date (dd/mm/yyyy)

 / /

Signatory's full name (please print)

Company signatories must indicate their company title

Director Sole Director/Sole Secretary/Sole Trader

Clubs/associations/unincorporated bodies: please indicate office title

Signature B

Date (dd/mm/yyyy)

 / /

Signatory's full name (please print)

Company signatories must indicate their company title

Director Company Secretary

Clubs/associations/unincorporated bodies: please indicate office title

Company: two directors or a director and company secretary must sign unless the company has only a sole director and sole secretary. All signatories must state their capacity within the company by crossing the applicable box(es) above.

Please ensure Section 13 – Investor's declaration and signature is also completed.

9 PAYMENT OF WITHDRAWAL/DISTRIBUTION ACCOUNT DETAILS

Complete this Section if you:

- > have selected a Regular Withdrawal Plan (Section 6D) **and/or**
- > have selected Pay to bank distribution option (Section 6E) **and/or**
- > wish to provide bank account details to be used for any future withdrawals that you request from your investment. (This is the quickest way to make withdrawals from your investment.)

Bank account for payments of withdrawals/distributions must be in the name of the investor and not a third party

We only accept Australian bank account details

Name of financial institution

Branch name

BSB number

Account number

Account name

10 FAX REQUESTS

Cross the relevant box.

Would you like us to accept fax requests from you (for redemptions and changes to investor details)? See 'Terms and conditions' in the PDS.

Yes No

Please note - we **cannot** accept initial applications by fax.

11 AUTHORISED REPRESENTATIVE – OPTIONAL

Complete this Section with the details of the person you wish to appoint with the legal capacity to act as your authorised representative and to operate your investment in the Funds on your behalf.

Title

Mr Mrs Miss Ms Other

Given name(s)

Surname

Work phone number

 ()

Fax number

 ()

Email address

Signature of authorised representative

Date (dd/mm/yyyy)

 / /

12 MONTHLY ADVISER REMUNERATION FEE AND ONGOING SERVICE FEE

Cross the relevant box.

Flat percentage remuneration of (excluding GST) per annum of your total Account value %

OR

Flat dollar remuneration of (excluding GST) per month \$

Nominated contribution (or entry) fee ¹ %

Nominated ongoing commission ² %

1) You and your financial adviser must agree the amount of the contribution fee that your financial adviser will receive for services provided. If you don't have a financial adviser, you'll be charged 4.10% which will be retained by Maple-Brown Abbott.

2) You and your financial adviser may agree to rebate to you all or part of the upfront commission. Any rebate will be reinvested into your account as additional units and constitutes taxable income.

13 INVESTOR'S DECLARATION AND SIGNATURE

The PDSs for the Maple-Brown Abbott Imputation and Australian Geared Equity Funds contain important information about investing in these Funds. If you give this Application Form to another person you must, at the same time and by the same means, give them the PDS for the relevant Fund, free of charge.

By signing this Application Form, I/we:

- > acknowledge that I/we have read the PDS for the Fund/s we have selected in full and agree to be bound by the terms of the PDS and the terms of the relevant constitution(s), each as amended from time to time
- > acknowledge that none of Maple-Brown Abbott Limited, Westpac Banking Corporation or any of its related entities stands behind or otherwise guarantees the capital value or the investment performance of any fund offered in the PDS
- > acknowledge that investments in the Funds are not deposits with, or other liabilities of, Westpac Banking Corporation or any other company in the Westpac Group of companies and that investments in the Funds are subject to investment risks, including possible delays in repayment and loss of income and principal invested
- > acknowledge that I/we have read the Section in the PDS titled 'Protecting your privacy' and agree that Maple-Brown Abbott Limited, Advance Asset Management Limited (a member of the Westpac Group) may collect, use, disclose, and handle my/our personal information in the manner set out in that Section
- > declare that all the details given on this Application Form are true and correct
- > acknowledge that a person must not pass on to another person the Application Form unless it is accompanied by the completed and unaltered PDS
- > acknowledge that if I/we have received the PDS from the internet or other electronic means, I/we have received it personally, or a paper print out of it, accompanied by this Application Form
- > promise to provide all identification and verification materials that may be required at any time for the purposes of complying with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 and Rules.

If Section 11 (Authorised representative) is completed, by signing below I/we:

- > acknowledge that the appointment of an authorised representative is governed by the terms set out in the PDS.

ALL INVESTORS MUST SIGN AND DATE [THE FOLLOWING]

If signing under a Power of Attorney (POA), you verify that at the time of signing, you have not received notice of revocation of that power.

Please provide a certified copy of the original POA, including the appointed POA's signature. If the POA's signature is not included, please attach two certified copies of original identification.

Individual or sole trader	Sign as Investor A. If a sole trader, indicate by crossing applicable box.
Joint investor	All investors must sign for an initial application. However, for existing investors, the previously notified signing authority applies.

Business partnership	All partners to sign. If more than two partners, please photocopy signature page and attach with signatures for all partners.
Trust/superannuation fund	Individual trustee sign as Investor A. Joint trustees sign as Investors A and B. Corporate trustee – see company requirements.
Company/Institutional/ Mastertrust/Wrap Provider	Two directors or a director and company secretary must sign unless the company has only a sole director and sole secretary. All signatories must state their capacity within the company by crossing the applicable box(es).
Adult(s) investing for a child under 18	Adult(s) to sign in their own name.
Deceased estate	All executors to sign. If more than two executors, please photocopy signature page and attach with signatures of all executors.
Club, association or unincorporated body	Office bearer(s) must sign and state their appropriate office title.

Signature of Investor A, Director or Sole Trader

Date (dd/mm/yyyy)

/

 /

Signatory's full name (please print)

Company signatories must indicate their company title

Director Sole Director/Sole Secretary/Sole Trader

Clubs/associations/unincorporated bodies: please indicate office title

Signature of Investor B or Director

Date (dd/mm/yyyy)

/

 /

Signatory's full name (please print)

Company signatories must indicate their company title

Director Company Secretary

Clubs/associations/unincorporated bodies: please indicate office title

14 ADVISER USE ONLY

Maple-Brown Abbott Adviser Number

A

Maple-Brown Abbott Dealer Number

D

Title

Mr Mrs Miss Ms Other

Given name(s)

Surname

Daytime phone number

()

Fax number

()

Investment Link ILCN (Client No.)

ILGN Group No.

ALL ADVISERS MUST SIGN AND DATE THIS FORM

Signature of Adviser (if applicable)

Date (dd/mm/yyyy)

/ /

Adviser's stamp (please use black ink only)

NEW ADVISER INFORMATION

New advisers please attach copies of the following documents.

Authorised representative

- > Letter/Fax from the licensee confirming the AFSL number and that the adviser is an authorised representative.
- > Copy of the written notice from the licensee authorising the adviser to provide financial services on their behalf.

Licensee

- > Copy of AFSL issued by ASIC.



Maple-Brown Abbott Imputation Fund Maple-Brown Abbott Australian Geared Equity Fund

MAPLE-BROWN ABBOTT LIMITED ABN 73 001 208 564 AFSL 237296

CUSTOMER IDENTIFICATION FOR INDIVIDUALS AND SOLE TRADERS

To comply with our obligations under the *Anti-Money Laundering (AML) and Counter Terrorism Financing (CTF) Act 2006*, Maple-Brown Abbott customers must complete a Customer Identification Form in addition to the Application Form.

This Customer Identification Form is to be completed by individuals, sole traders and joint investors only. Customer Identification Forms for other entity types can be downloaded from maple-brownabbott.com.au or can be obtained from the Ironbark Client Services Centre on **1800 034 402**.

Please follow the instructions provided carefully.

Applications received without a completed Customer Identification Form and any necessary supporting documentation, from customers who are required to be identified, cannot be processed.

INSTRUCTIONS AND CHECKLIST FOR COMPLETING THE CUSTOMER IDENTIFICATION FORM

Who should complete this Customer Identification Form

If you are an individual, sole trader or joint investor investing in a Maple-Brown Abbott product.

Important information for investors

- > If you are lodging your Application Form through a financial adviser, please contact your financial adviser for further information.
- > If you are lodging your Application Form as a **direct investor** (without a financial adviser), you will need to complete **Section 1** of the Customer Identification Form only, and attach certified copies of your identity document(s). Please use the **checklist** provided below.

Checklist for direct investor

Before you send the Customer Identification Form, ensure that you have correctly completed all items on the **checklist** below.

Ensuring that all information and documentation is provided will assist in a smooth application process.

This **checklist** is provided for your records and is not required by Maple-Brown Abbott to process your application.

- > Complete **all** applicable fields in **Section 1** of the Customer Identification Form using the instructions provided.
- > The following documents must be mailed to Maple-Brown Abbott.
 - Completed Customer Identification Form
 - Certified copies of your identification document(s)
 - Application Form (except online applications) with any applicable documentation.

How to certify your documents

A certified copy is a document that has been certified as a true copy of an original document.

To certify a document, take the original document and a photocopy to one of the people listed in the categories below and ask them to certify that the photocopy is a true and correct copy of the original document. That person will need to print their name, date and the capacity in which they are signing (eg postal agent, Justice of the Peace). Sample wording follows.

I, [full name], as [category of persons as listed below], certify that this [name of document] is a true and correct copy of the original [signature and date].

WHO CAN CERTIFY COPIES OF DOCUMENTS

Legal	<ul style="list-style-type: none"> > A solicitor or barrister (that is, a person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner (however described)) > A judge of a court > A magistrate > A chief executive officer of a Commonwealth court > A registrar or deputy registrar of a court > A notary public
JP	> A Justice of the Peace
Police	> A police officer
Accountant	> A member of the Institute of Chartered Accountants in Australia, Certified Practising Accountants Australia or the National Institute of Accountants with two or more years of continuous membership
Post office	<ul style="list-style-type: none"> > An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public > A permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
Diplomatic service	<ul style="list-style-type: none"> > An Australian consular officer > An Australian diplomatic officer (within the meaning of the Consular Fees Act 1985)
Financial corporations (bank, building society, credit union)	<ul style="list-style-type: none"> > An officer with two or more years of continuous service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 1993) > A finance company officer with two or more years of continuous service with one or more finance companies (for the purposes of the Statutory Declaration Regulations 1993) > An officer with, or authorised representative of, a holder of an Australian Financial Services Licence, having two or more years of continuous service with one or more licensees

IMPORTANT INFORMATION FOR FINANCIAL ADVISERS

Maple-Brown Abbott will also accept identification from a correctly completed Financial Services Council/Financial Planning Association (FSC/FPA) identification form.

When using this Customer Identification Form, please complete **Sections 1 and 2**.

SECTION 1

Complete this form using **black pen** – print in clear **CAPITAL LETTERS**

Complete one form for each investor (eg two forms in total for two joint investors).

1A PERSONAL DETAILS

Given name(s)

Surname

Daytime phone number

 ()

Date of birth (dd/mm/yyyy)

 / /

Residential address/Registered office (PO Box not acceptable)

State

Postcode

Country, if not Australia

1B SOLE TRADER DETAILS (IF APPLICABLE)

Complete this section if you are a sole trader.

Full business name (if any)

Australian Business Number (ABN)

Full address of principal place of business (if any) (PO Box is not acceptable)

State

Postcode

Country, if not Australia

1C VERIFICATION PROCEDURE

Please send us certified copies of documents that show your full name and **either** your date of birth **or** residential address.

- > Complete Part A to tell us what documents you are sending us.
- > If you cannot send us a certified copy of a document from Part A, then complete either Part B or Part C.
- > Contact us if you are unable to provide the required documents in Parts A, B or C.
- > **Do not send original documents, only certified copies.** Please refer to the 'How to certify your documents' section for more information.

Part A – Acceptable primary ID documents

<input checked="" type="checkbox"/>	Select ONE valid option from this section only
<input type="checkbox"/>	Certified copy of an Australian State/Territory driver's licence containing a photograph of the person
<input type="checkbox"/>	Certified copy of an Australian passport (a passport that has expired within the preceding two years is acceptable)
<input type="checkbox"/>	Certified copy of a card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
<input type="checkbox"/>	Certified copy of a foreign passport or similar travel document containing a photograph and the signature of the person ¹

1) Documents written in any other language but English must be accompanied by an English translation prepared by an accredited translator.

OR

Part B – Acceptable secondary ID documents

Should only be completed if you do not send a certified copy of a document from Part A or Part C

<input checked="" type="checkbox"/>	Select ONE valid option from this section only
<input type="checkbox"/>	Certified copy of an Australian birth certificate
<input type="checkbox"/>	Certified copy of an Australian citizenship certificate
<input type="checkbox"/>	Certified copy of a Pension card issued by Centrelink
<input type="checkbox"/>	Certified copy of a Health card issued by Centrelink

AND

<input checked="" type="checkbox"/>	Select ONE valid option from this section only
<input type="checkbox"/>	Certified copy of a document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
<input type="checkbox"/>	Certified copy of a document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address. Block out the TFN before scanning, copying or storing this document.
<input type="checkbox"/>	Certified copy of a document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address).
<input type="checkbox"/>	If under the age of 18, certified copy of a notice that was issued to the individual by a school principal within the preceding 3 months; and contains the name and residential address; and records the period of time that the individual attended that school.

Part C – Acceptable foreign ID documents

Should only be completed if you do not send a certified copy of a document from Part A or B

<input checked="" type="checkbox"/>	BOTH documents from this section must be presented
<input type="checkbox"/>	Certified copy of a Foreign driver's licence ¹ that contains a photograph of you and your date of birth.
<input type="checkbox"/>	Certified copy of a National ID card ¹ issued by a foreign government containing your photograph and your signature.

1) Documents written in any other language but English must be accompanied by an English translation prepared by an accredited translator.

SECTION 2 (FINANCIAL ADVISER USE ONLY)

Option 1

Financial advisers can complete **Section 2A** as outlined below. Please ensure that you indicate which document(s) you have sighted as set out in **Section 1C** of this form. Enclose the completed verification procedure (along with copies of the document verified) with your client's Application Form and mail to Maple-Brown Abbott.

This method is preferred by Maple-Brown Abbott.

OR

Option 2

Financial advisers can send Maple-Brown Abbott copies of all documents received from the client with this completed Customer Identification Form and the Application Form. Documents must be provided as set out in **Section 1C** of this form.

2A RECORD OF VERIFICATION PROCEDURE

Verify the **individual's** full name; and **either** their date of birth or residential address.

ID document details	Document 1
Verified from	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy
Document issuer	
Issue date (dd/mm/yyyy)	<input type="text"/> / <input type="text"/> / <input type="text"/>
Expiry date (dd/mm/yyyy)	<input type="text"/> / <input type="text"/> / <input type="text"/>
Document number	
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted

ID document details	Document 2
Verified from	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy
Document issuer	
Issue date (dd/mm/yyyy)	<input type="text"/> / <input type="text"/> / <input type="text"/>
Expiry date (dd/mm/yyyy)	<input type="text"/> / <input type="text"/> / <input type="text"/>
Document number	
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted

Identification and verification conducted by:

Date (dd/mm/yyyy)

/ /

Financial Adviser's name

AFS licensee name

AFSL number

Phone number

()

CONTACT DETAILS

Ironbark Client Services Centre

1800 034 402

Correspondence

Registry Services – MBA

GPO Box 1406

Melbourne VIC 3001

Website

maple-brownabbott.com.au